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PATENT  
Docket No. 2080-3-28

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kang Soo Seo; Byung Jin Kim; Jea Yong Yoo;  
Hyung Sun Kim

Serial No: 09/888,761

Filed: Herewith

For: RECORDING MEDIUM CONTAINING  
SUPPLEMENTARY SERVICE INFORMATION FOR  
AUDIO/VIDEO CONTENTS, AND METHOD AND  
APPARATUS OF PROVIDING SUPPLEMENTARY  
SERVICE INFORMATION OF THE RECORDING  
MEDIUM

Art Unit:

Examiner:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,  
on

September 10, 2004

Date of Deposit

Lew Edward V. Macapagal

*Lew Macapagal* 9/10/2004

**TRANSMITTAL OF INFORMATION DISCLOSURE  
STATEMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**RECEIVED**

SEP 15 2004

Technology Center 2600

In accordance with 37 CFR § 1.56 and 1.97, enclosed please find a copy of Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

1. Non-English Language References

- ☐ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

2. ☒ The information disclosure statement submitted herewith is being filed within three months of the filing date of the national application other than a continued prosecution application (CPA) or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 1.114 whichever event occurs last. 37 C.F.R. § 1.97(b) (as amended September 2000).

3. ☐ The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either: 37 C.F.R. § 1.97(c) (as amended September 2000).

- (1) a final action under § 1.113 or  
(2) a notice of allowance under § 1.311, whichever occurs first.

**STATEMENT OR FEE**

A. Included with this transmittal is

- i. ☐ a certification (set forth below) in accordance with 37 C.F.R. § 1.97(e). (If for any reason the certificate set forth below should be unsatisfactory, the Commissioner is provisionally authorized to charge the \$180 fee (37 C.F.R. § 1.17(p)) to Deposit Account No. 502290. A copy of this sheet is enclosed.)

**OR**

- ii. ☐: the attached fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$180.00).

4. ☐: The information disclosure statement transmitted herewith is being filed *after* a final action under § 1.113 or a notice of allowance under § 1.311, whichever occurs first, but before, or simultaneously with the payment of the issue fee. 37 C.F.R. § 1.97(d) (as amended September 2000).

**STATEMENT AND FEE**

A. In accordance with the requirements of 37 C.F.R. § 1.97(d):

- i. Set forth below is a certification as specified in 37 C.F.R. § 1.97(e); **AND**  
ii. Applicant submits the petition fee set forth in § 1.17(p). (\$180.00).

**STATEMENT**

(Required if 3Ai or 4 above is marked)

5. I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(1).

**OR**

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information disclosure statement was known to any individual designated in

§ 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R.  
§ 1.97(e)(2).

6. If it should be determined that for any reason either an insufficient fee or an excessive fee has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 502290. A copy of this petition is enclosed.

Respectfully submitted,

Lee, Hong, Degerman, Kang & Schmadeka

Date: September 10, 2004

By: Lew Macapagal  
Lew Edward V. Macapagal  
Registration No. 55,416  
Attorney for Applicant(s)

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FORM PTO-1449

Docket Number (Optional)  
2080-3-28Application Number  
09/888,761INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

Applicant

Kang Soo Seo; Byung Jin Kim; Jea Yong Yoo;  
Hyung Sun Kim

SEP 13 2004

(Use several sheets if necessary)

Filing Date

06/25/2001

Group Art Unit

2611

## U.S. PATENT DOCUMENTS

EXAMINER  
INITIAL

DOCUMENT NUMBER

DATE

NAME

CLASS

SUBCLASS

FILING DATE IF  
APPROPRIATE

RECEIVED

SEP 15 2004

Technology Center 2600

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER

DATE

COUNTRY

CLASS

SUBCLASS

TRANSLATION  
(YES/NO)

11-161663

06/18/1999

Japan

G06F

17/30

Yes (Abstract)

WO99/40720

08/12/1999

WIPO

H04N

5/00

Yes (Abstract)

11-239305

08/31/1999

Japan

H04N

5/445

Yes (Abstract)

2000-149425

05/30/2000

Japan

G11B

20/10

Yes (Abstract)

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.